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Attorney Docket No. SP00-321

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): George H. Beall, Linda R. Pinckney, William D. Vockroth and Ji Wang

Title: **Method For Making Nanocrystalline Glass-Ceramic Fibers**

Enclosed are:

- ☒ Application
☒ Assignment and Assignment Transmittal
☒ 2 sheet(s) of drawings
☒ Declaration in Original Application
☒ Power of Attorney by Assignee
☐ Form PTO-1449

The filing fee has been calculated as shown below:

CLAIMS AS FILED

	No. Filed		No. Extra	Rate	Basic Fee
					\$710.00
Total Claims	35	- 20 =	15	x \$18.00	\$270.00
Independent Claims	7	- 3 =	6	x \$80.00	\$320.00
Multiple Claims				+ \$270.00	\$270.00
TOTAL:					\$1570.00

- ☒ Authorization is given by Corning Incorporated to charge Deposit Account No. 03-3325. A triplicate copy of this sheet is enclosed.
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Date: Oct. 18, 2000Date of Deposit: October 18, 2000

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jc682 U.S. PTO

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METHOD FOR MAKING NANOCRYSTALLINE GLASS-CERAMIC FIBERS

CLAIM OF PRIORITY

5 The present application claims the benefit of United States Provisional
Application Serial No. 60/160,052, entitled NANOCRYSTALLINE GLASS-
CERAMIC FIBERS AND METHOD OF MAKING THEM, filed on October 18,
1999 in the names of George H. Beall, Linda R. Pinckney, William Vockroth and Ji
Wang.

FIELD OF THE INVENTION

10 A method for making glass ceramic, optoelectronic materials that contain
nanocrystals that are doped with at least one kind of optically active metal.

BACKGROUND OF THE INVENTION

15 Over the past few decades, fiber optic systems have become the standard for
long-distance communication. This preponderance stems from several advantages of
optical links over the more traditional metallic-based counterparts, including lower
loss, higher information capacity, low cost per channel, immunity to crosstalk and
electrical interference, and a smaller physical mass. Currently, optical fiber systems
20 carry hundreds of terabits per second over distances greater than 1000 km. Even
though the capacity of optical fibers is orders of magnitude beyond the capability of
metallic links, the demands of global communication are driving the system capacity
to double every year.

Transition metals have long been used as optically active dopants in crystalline hosts because they fluoresce in the near infrared (1000 – 1500 nm) region, while exhibiting a correspondingly large bandwidth. For example, disclosed in U.S. Patent No. 4,987,575 to Alfano *et al.* are Cr⁴⁺ doped crystals that are capable of lasing near 1.3 μm. Another example is titanium-doped sapphire (Ti : Al₂ O₃), which provides optical gain in the range of about 650-1100 nm.

Given the useful wavelength range and bandwidth of many transition metal dopants, one can see that their advantageous attributes could be put to good use in telecommunications applications. The crystalline-host transition metal technology of Patent No. 4,987,575, however, is not suited for these applications, since the primary optical communications medium is glass-based optical fiber. While a logical extension would be the inclusion of transition metal dopants into glasses, their performance (particularly their efficiency) has unfortunately been found to degrade in amorphous hosts, where the crystal field strength is much smaller than single-crystal hosts. The transition metal ions instead, merely are suspended in the amorphous body providing or contributing little to the amplification or transmission qualities.

Another approach has been considered by Alfano *et al.* in U.S. Patent No. 5,717,517, whereby the laser-active Cr⁺⁴ (or V⁺³)-doped crystal is manufactured as a plurality of particles, to be dispersed in a “non-gaseous” medium. In this manner, the dopants remain laser-active within a crystalline host while the larger, surrounding medium is compatible with fiber optic technology. In order to minimize the optical losses from such a composite medium, both the particles and their index difference from the surrounding medium must be small. These requirements were recognized in the patent by Alfano *et al.*, and the particle size was therefore stipulated to be between 0.05 and 500 μm, while the index mismatch was specified to be lower than 0.1.

While the concept of dispersing crystalline particles in an amorphous medium is valid, this technology has several severe drawbacks, primary of which is the manufacture of the microscopic particles and their uniform distribution in a suitable matrix. Certainly the loss decreases with particle size, and the smallest particles (0.05 μm) are therefore desirable. Grinding of material generally has difficulty producing particles smaller than 1 μm however, and even the sol-gel method of producing

forsterite has trouble attaining particles smaller than this size. While some techniques have attained particles on the 0.5 μm scale, another order of magnitude smaller seems difficult to achieve. Even allowing for the smallest particle size of 0.05 μm , a simple analysis of the scattering losses reveals another major shortcoming of this technique.

5 To overcome the shortening of the aforementioned materials and techniques, we describe a method for making glass-ceramic optical fibers. Glass ceramics have the advantage described in a United States patent application entitled TRANSITION-METAL GLASS-CERAMIC GAIN MEDIA, filed in the name of George H. Beall, Nicholas F. Borrelli, Linda R. Pinckney, Eric J. Mozdy, on October 11, 2000, which
10 is incorporated by reference in its entirety, herein. The process of internal nucleation forms a glass ceramic, where the crystalline sites are small and uniformly distributed throughout the glass core. The crystals are formed from constituent materials of the original glass melt, not by introducing new materials as disclosed in U.S. Patent No. 5,717,517. Moreover, the optically active dopants are introduced throughout the
15 entire medium, as compared to only scattered particles.

When making an optical fiber from glass ceramic materials, the nature of a glass-ceramic material generally necessitates drawing the material as a glass fiber and subsequently subjecting the fiber to an appropriate thermal treatment to develop the crystalline phase. Most glass-ceramic fibers, currently known, are made by using a
20 "double-crucible method". Accordingly, it has become customary to employ an apparatus known as a double crucible in drawing glasses to be converted to a glass-ceramic. The double crucible embodies a central tube for the core glass of a fiber. A larger diameter tube, surrounding the central tube, delivers the cladding glass. The respective glasses are maintained in a molten state in their crucibles, and flow from
25 the tubular outlets to be drawn as a clad fiber.

In drawing optical fibers from glass-ceramic compositions, the most critical issue of concern is how to suppress the intense tendency of the compositions to crystallize as the glass is processed when attempting to form a glassy fiber. This phenomenon is due to the fact that the compositions for the precursor glass for a
30 glass-ceramic, particularly the high temperature glass-ceramics useful for present purposes, are purposely designed to crystallize. Accordingly, in drawing a clad glass

for present purposes, a critical problem is how to suppress this intense tendency to crystallize, thereby maintaining the fiber as a glass.

We have found various drawbacks in using the double crucible method. But the major shortcoming of this approach that the present invention is directed to ameliorate is the propensity of the respective glass components to undergo strong chemical inter-diffusion and/or interaction between the core material and the cladding material, because both glasses are in a fairly fluid or liquid state. Both the core and clad composition typically contain significant amounts of monovalent and divalent ions, which are likely to migrate across the core-clad interface. Diffusion problems may seriously alter the composition of the core glass-ceramic, and even render it incapable of being cerammed in a subsequent thermal treatment.

Hence, a problem exists that the present invention is directed to solving. The invention provides a method to minimize cross-diffusion between the core and cladding materials during the optical fiber manufacturing process. The method described in this application is a very different method of fiberizing a glass-ceramic material, which offers certain advantages particularly with respect to the cladding, described below, and is the preferred fiberization method for certain glass ceramic compositions.

SUMMARY OF THE INVENTION

The present invention resides in a method to produce clad optical fiber and other materials for optoelectronic applications, including lasers and amplifiers, without having to suffer unnecessarily, when forming and drawing optical fiber, contamination of the fiber core by the cladding material. More particularly, the invention provides a unique method for making an optoelectronic material by modifying the "rod-in-tube" process to produce a clad optical fiber. Diffusion of contaminant elements into the precursor glass compositions for the glass-ceramic fiber core is kept to a minimum. Maintaining the purity of the core and its transparency to light is useful and favored in optoelectronic applications. The method can best be described as a "viscous-liquid-in-tube" process, wherein precursor glass compositions for making glass-ceramic materials that contain nanocrystals doped with optically active ions are employed with a more refractory or temperature resistant

cladding material. According to the inventive method, a precursor glass composition is first prepared and formed into a cane. Second, a chemically inert cladding material comprising, for example, modified silica is formed into a tube that is fitted around the glass cane. Third, a glass fiber is drawn from the combined precursor-glass-cane-in-tube at a temperature slightly above the liquidus of the fiber-core glass composition, and subsequently at least a portion of the drawn clad glass fiber is heat treated to develop nanocrystals within the core composition, thereby forming a glass ceramic.

BRIEF DESCRIPTION OF THE DRAWINGS

- 10 Figure 1A. A differential thermal analysis (DTA) curve showing temperature ranges used for current Rod-in-Tube (RIT) and Double-Crucible (DC) fiber-drawing processes, respectively.
- Figure 1B. Differential thermal analysis (DTA) curves indicating the respective areas of formative regions of glass-ceramic fiber fiberization used in creating the present invention, a “viscous” liquid-in-tube process.
- 15 Figure 2. A cross-sectional view of a clad optical fiber in accordance with the present invention.

DESCRIPTION OF THE INVENTION

- 20 The previous method of drawing optical fibers from most glass-ceramic compositions, as mentioned before, involved using a double-crucible. This method tends to exacerbate contamination of the precursor glass composition used in the fiber core by the cladding material. Contamination would substantially alter the composition of the later formed glass-ceramic material of the core. In the present invention, we propose an original method to produce clad optical fiber and other optoelectronic devices used in telecommunications. The invention is described with reference to a clad optical fiber as a component in optoelectronic devices such as lasers and amplifiers where it presently finds application. However, it is not necessarily so limited, and those skilled in the art of clad materials will no doubt readily see other applications.
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The invention involves using the thermal properties of materials in a way to produce transparent glass-ceramic fiber cores in combination with a durable cladding.

Figures 1A and 1B illustrate the thermodynamic principles involved in the invention. In contrast to currently employed “rod-in-tube” draw processes that operate in a lower temperature range, between the glass transition temperature (T_g) and crystallization temperature (T_x) as shown in Figure 1A, the present inventive process occurs at a higher temperature. At temperatures lower than those used in the present invention, the glass composition of the core tends to devitrify, often forming crystals too large to permit effective light transmission. It is, therefore, desirable to avoid any uncontrolled crystallization in the glass fiber as it is being drawn and clad. Crystal formation at an early stage of manufacture is inopportune and can cause many complications latter on. The glass composition of the core should remain glassy at this stage of production. As can be seen in Figure 1B, to completely avoid crystallization of the glass-ceramic composition when making a preform draw, the draw should be carried-out at a temperature just above the liquidus temperature (T_l) of the candidate glass-ceramic material that will be used as the core of a fiber. At the other temperature extreme, suitable for current double crucible processes, the outer cladding material may become too soft and compositional species may become mobile. Thus, as described before, too much chemical reaction occurs between the core and clad compositions. A material for cladding that can withstand higher temperatures and that is unlikely to chemically react or diffuse with the core glass composition is used to surround the fiber core before drawing begins. Thus, the new method entails essentially a shifting of the respective temperature dynamics for the core and cladding materials. In other words, even though both materials are subjected to the same temperature during the draw process, the temperature is slightly above the liquidus temperature (T_l) of the glass in the core, while simultaneously remaining below the kinetically-controlled crystallization temperature (T_x) of the much more viscous cladding glass. This process can also be described as a conceptual hybrid between the rod-in-tube and the double crucible methods.

Figure 2 is a cross-sectional view of a clad fiber in accordance with the present invention. In the Figure, a clad fiber is designated by the numeral **10**. Clad fiber **10** comprises a core fiber **12** having a cladding layer **14** deposited on the surface of fiber **12** and encasing it. Dimensions are considerably exaggerated in the interest of clarity.

Transition-metal doped nanocrystalline glass-ceramics are a unique class of novel laser and optical amplifying material used for optoelectronic applications. More particularly, applicable transition metal dopants can include titanium (Ti), vanadium (V), chromium (Cr), manganese (Mn), cobalt (Co), nickel (Ni), copper (Cu), or even iron (Fe). Glass ceramic materials doped with transition metal ions preserve not only their typical broad-emission characteristics, but also tend to show high, crystal-like quantum yield as compared to similarly-doped amorphous, pure glass hosts. Thus, they provide the advantages of a pure crystal in terms of

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chemically inert with respect to the glass of the core. That is, the cladding material reacts only minimally, if at all, with the viscous-liquid core.

A cladding material that satisfies these two concerns can comprise a composition of predominantly silica modified with additives. Suitable additives for
5 modifying silica include oxides of boron (B), germanium (Ge), phosphorous (P), aluminum (Al), gallium (Ga), tantalum (Ta), titanium (Ti) and antimony (Sb). These oxide additives are oxides known as conditional glass-forming oxides with silica, and any one of which may be used singly or in combination with another.

Silica materials modified with these elements, but particularly B, Ge, and/or P,
10 exhibit several relevant characteristics. First, no-bridging oxygen exists in these cladding materials and all bonds are fully saturated, thus these materials exhibit strong chemically inert properties. The B, Ge and P oxides are preferred, with the B and Ge being slightly favored. Phosphorous is more effective in decreasing the softening point, but its double bond may leave bigger voids in the glass structure. These voids
15 may permit entry of alkali ions, thereby lessening the chemically inert properties of the cladding. In general, alkali metal and alkaline earth metal oxides are avoided to the extent feasible. These compounds tend to reduce chemical inertness and tend to unduly lower the glass softening point.

Second, by avoiding alkali and alkaline earth metal oxides, the softening
20 points of these materials can be varied greatly ranging from about 1200 °C to as high as that of pure silica, depending on the requirements of the compositional nature of the core material. A high softening temperature provides, according to an embodiment of the inventive method, a drawing temperature that is slightly above the liquidus temperature of the core composition. Third, high temperature glass-ceramics
25 may have coefficients of thermal expansion in the range of about $10\text{-}90 \times 10^{-7}/^{\circ}\text{C}$, but more often within the range of $20\text{-}70 \times 10^{-7}/^{\circ}\text{C}$, or $30\text{-}60 \times 10^{-7}/^{\circ}\text{C}$. The cladding material used will then preferably have a somewhat lower CTE than that of the core glass. For example, the cladding then can have a CTE in the range of about $5\text{-}70 \times 10^{-7}/^{\circ}\text{C}$, or in the range of $15\text{-}60 \times 10^{-7}/^{\circ}\text{C}$ but more likely within $15\text{-}25 \times 10^{-7}/^{\circ}\text{C}$. As
30 stated before, the differential between coefficients of thermal expansion provides compressive stress that helps strengthen the clad fiber.

One other favorable feature of the inventive method is the fiber produced is compatible with silica-based fiber technology and is easily fusion spliced, since the cladding contains high amounts of silica.

5 In other methods such as cullet-in-tube, when substantial time is required to heat and melt the cullet, a great amount of diffusion can occur with the cladding. Since the core material employed in the inventive method is already a nicely formed glass material, the tendency for diffusion of component elements is reduced.

10 Having discussed the nature of the nanocrystalline, glass-ceramic core, and modified-silica cladding materials, it is easier to understand our new method for fiberization. The method is similar to a "rod-in-tube" approach, yet substantially different because the inventive method makes better use of the thermal properties of these materials. As touched upon before, it is clear that fiber drawing according to our invention should generally be carried out at a temperature just above the liquidus temperature of the glass fiber core. This is not to disavow that if the kinetics of
15 crystallization are sufficiently slow, however, the fiber can be drawn at a temperature below the liquidus temperature of the core glass. Additionally, the more refractive nature of the modified silica cladding can withstand much higher temperatures than previous cladding materials used in the conventional rod-in-tube approach. In our inventive method, a clad glass fiber is first produced by drawing a cane from the
20 precursor glass and cladding it with a cladding of modified silica, as described above. The modified silica tube is preferably fabricated by an outside chemical vapor deposition (CVD) process such as OVD or VAD, but traditional fusion or flame process may be employed as well. CVD produced modified silica cladding tends not to contain monovalent or divalent ions. Cladding may be attached to the core in a
25 mechanical process by placing the core within the cladding. As shown in Figure 1B, the drawing process occurs at a temperature that is above liquidus for the core, and below crystallization for the cladding. The clad glass fiber is drawn and then subjected to an appropriate thermal treatment to crystallize either during the drawing process or, more commonly, in a subsequent step.

30 Thus to recapitulate, our invention is in part a method for making an optoelectronic material. The method comprises several steps: a) preparing a glass composition to yield a precursor glass for a nanocrystalline glass ceramic doped with

at least one kind of optically active ion; b) forming the precursor glass into a glass cane; c) incorporating the glass cane with a chemically inert cladding material, preferably made from modified silica; d) making an optical component, such as a fiber, from the combined glass cane and cladding at a temperature slightly above the liquidus temperature of the relatively more fluid, precursor glass, and below the kinetic crystallization temperature of the viscous cladding (glass) material; e) heat treating at least a portion of the optical component to develop nanocrystals within the precursor glass. The optically active dopant is selected from transition metals and lanthanides. The nanocrystals formed in the glass ceramic are not larger than about 50 nm, and may be as small as 5 nm, in size.

In the making of a glass optical fiber according to the inventive method, the cladding glass is sufficiently viscous at the drawing temperature to permit it to be drawn at a temperature where the core glass is chemically stable even though more fluid than the cladding. The cladding-glass batch is made as a tube formed by a chemical vapor deposition process. The cladding glass is adapted to provide a glass containing essentially silica modified by at least one modifying oxide selected from the group composed of B, Ge, P, G, Al, Ta, Ti, or Sb oxides. The cladding glass, thus modified has a softening point of at least 900 to 1000 °C, alternatively 1200 °C, or even as high as pure fused silica (1640-1650 °C).

Moreover, the optical fiber produced according to the method comprises a nanocrystalline glass-ceramic fiber core surrounded by a cladding, such that chemical migration of component elements between the core and cladding glasses are minimized by controlling compositional and thermal parameters of the fiberization process and the core and cladding materials. Further, the migration of component elements is reduced such that the refractive interface between the core and cladding does not adversely affect transmission and waveguiding in the core. The fiber core has a coefficient of thermal expansion in the range of about $10\text{-}90 \times 10^{-7}/^{\circ}\text{C}$, and the cladding material has a coefficient of thermal expansion in the range of about $5\text{-}70 \times 10^{-7}/^{\circ}\text{C}$.

Our experiments to date show the method to work very well and have produced, under experimental conditions, satisfactory, ceramable, optical fibers that have the correct emission spectra of transition metal ions for interesting optical

communication applications. Although a preferred embodiment of the invention has been disclosed in detail for the purpose of illustration, those skilled in the art can appreciate that variations or modifications may be made thereof and other embodiments may be perceived without departing from the scope of the invention, as

5 defined by the appended claims and their equivalents.

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1. A method of making a glass ceramic optoelectronic material comprising:

5 a) preparing a glass composition to yield a precursor glass for a nanocrystalline glass ceramic doped with at least one kind of optically active ion, the precursor glass having a crystallization temperature;

b) forming the precursor glass into a glass cane;

c) surrounding the glass cane with a chemically inert cladding material;

10 d) forming from the glass cane an optical component at a temperature above the crystallization temperature of the precursor glass;

e) heat treating at least a portion of the optical component to develop nanocrystals within the precursor glass, thereby forming a glass ceramic.

2. The method in accordance with claim 1, wherein the optically active ion is

15 selected from transition metals and lanthanides.

3. The method in accordance with claim 2, wherein the transition metals with which the glass-ceramic is doped are selected from the group consisting of Ti, V, Cr, Mn, Co, Ni, Cu, or Fe.

20 4. The method in accordance with claim 3, wherein the transition metals with which the glass-ceramic is doped are selected from the group consisting of Cr, Ni, or Co.

5. The method in accordance with claim 2, wherein the lanthanides with which the glass-ceramic is doped are selected from the group consisting of Er, Tm, Nd, Pr, Yb, Dy, or Ho.

25 6. The method in accordance with claim 1, wherein the nanocrystals are not larger than about 50 nm in size.

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7. The method in accordance with claim 1, wherein the optoelectronic material is in the form of a clad optical fiber.
8. The method in accordance with claim 7, wherein the optical fiber cladding is a silica glass modified by at least one oxide selected from the group composed of B, Ge, P, Ga, Al, Ta, Ti, or Sb oxides.
9. The method in accordance with claim 8, wherein the optical fiber is a silica glass modified by an oxide selected from the group consisting of B_2O_3 , GeO_2 , and P_2O_5 .
10. The method in accordance with claim 7, wherein the glass-ceramic forms a core of the optical fiber, the core has a CTE in the range of $10-90 \times 10^{-7}/^{\circ}C$, and the cladding has a CTE in the range of $5-70 \times 10^{-7}/^{\circ}C$.
11. The method in accordance with claim 10, wherein the glass-ceramic core has a CTE in the range of $20-70 \times 10^{-7}/^{\circ}C$, and the cladding has a CTE in the range of $15-60 \times 10^{-7}/^{\circ}C$.
12. A method of making a nanocrystalline glass ceramic optical fiber having a core that is doped with at least one kind of optically active ion, the method comprising:
 - a) forming a precursor glass cane;
 - b) creating a cladding material of modified silica;
 - c) combining the precursor glass cane into the cladding material;
 - d) drawing the combined precursor glass cane and cladding material at a temperature above crystallization of the precursor glass, and below the kinetic crystallization temperature of the cladding material;
 - e) heat treating the draw clad fiber under conditions that promote nanocrystal formation within the core to form a glass ceramic.

13. A method of producing a clad optical fiber, the method comprises melting a batch to yield a precursor glass for a nanocrystalline glass-ceramic that is doped with a transition metal, forming a glass cane from the precursor glass melt, mechanically incorporating the glass cane into a cladding tube, drawing a composite glass fiber at a temperature slightly above the liquidus temperature of the drawn composite glass fiber, and subsequently heat treating at least a portion of the clad glass fiber under conditions to develop nanocrystals therein.
14. The method in accordance with claim 1 or 13, which further comprises applying to the glass fiber a cladding glass that is sufficiently viscous at the drawing temperature to permit it to be drawn at a temperature where the core glass, though fluid, and has a sufficiently low vapor pressure to avoid appreciable volatilization.
15. The method in accordance with claim 1 or 13, which further comprises forming the cladding glass by a chemical vapor deposition (CVD) process.
16. The method in accordance with claim 1 or 13, which further comprises cladding the glass fiber with a batch adapted to provide a glass consisting essentially of silica and at least one modifying oxide, the glass thus provided having a softening point of at least about 900 °C.
17. The method in accordance with claim 16, which further comprises cladding the fiber with a glass having a composition consisting essentially of silica and at least one oxide selected from the group consisting of B, Ge and P.
18. An optical fiber comprising: a nanocrystalline glass ceramic fiber core; a cladding surrounding said core, such that migration of component elements between the cladding and the core compositions are minimized by controlling the thermal parameters of the fiberization process.

19. A optical fiber of claim 18, wherein the migration of component elements are reduced such that the interface between the core and cladding does not adversely affect transmission and waveguiding in the core.
- 5 20. A optical fiber of claim 18, wherein the fiber is drawn at a temperature above crystallization of the core composition, while maintaining the cladding material below its crystallization temperature.
21. An optical communications system comprising a fiber made according to the
10 method of claim 1.

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A method for making a glass ceramic, optoelectronic material such as a clad optical fiber or other component for use in an optoelectronic device. The method comprises preparing a glass composition batch to yield a precursor glass for a nanocrystalline glass-ceramic that is doped with at least one kind of optically active ion, such as a transition metal or lanthanide element; melting the batch; forming a glass cane; surrounding the cane with a chemically inert cladding material shaped in the form of a tube; drawing a glass fiber from the combined precursor-glass “cane-in-tube” at a temperature slightly above the liquidus of the precursor glass composition, and heat treating at least a portion of the drawn clad glass fiber under conditions to develop nanocrystals within the core composition and thereby forming a glass ceramic.

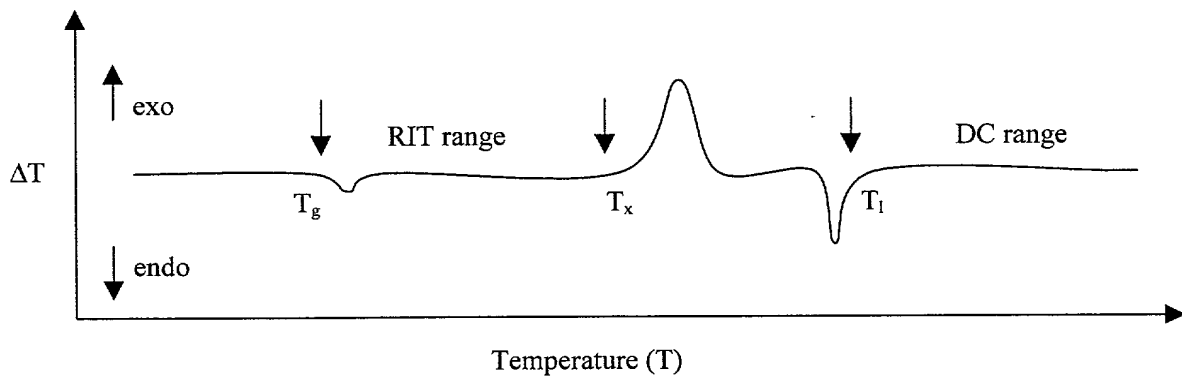


Figure 1A: DTA (differential thermal analysis) curve showing temperature ranges used for Rod-in-tube (RIT) and Double-crucible (DC) fiber-drawing processes, respectively.

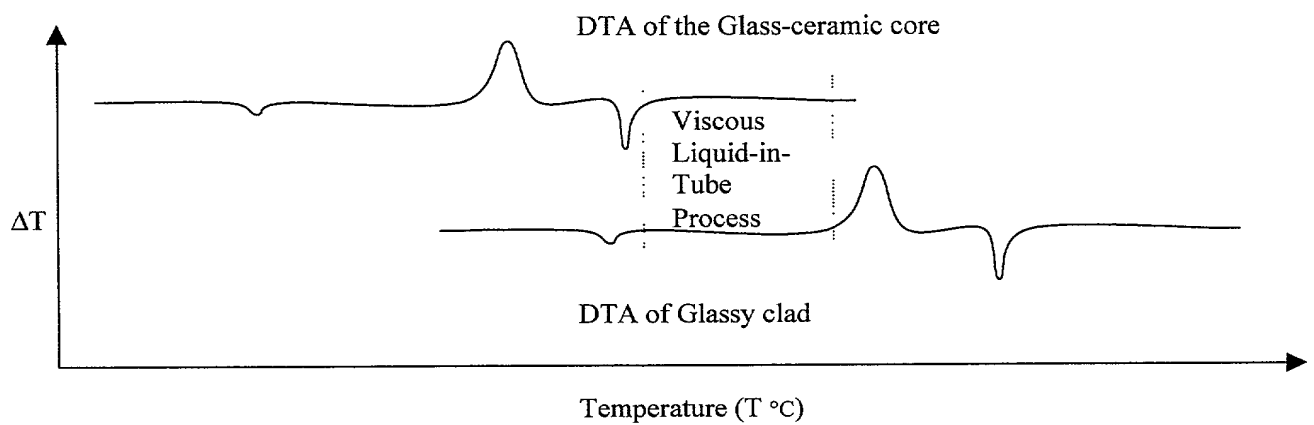


Figure 1B: Schematic showing the “viscous’-liquid-in-tube” process.

FIG. 2

DECLARATION IN ORIGINAL APPLICATION**Attorney Docket No.: SP00-321**

As a below named inventor, I declare that:

My residence, Post Office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Method For Making Nanocrystalline Glass-Ceramic Fibers**.

The specification of which (check only one item below):

- ☒ is attached hereto
- ☐ was filed as United States Application Serial No. on _____ and was amended on _____ (if applicable)
- ☐ was filed as PCT international application number _____, on _____, and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, on the same subject matter, having a filing date before that of the application on which priority is claimed:

- | | | | |
|--------------------------|-----------------|-------------------------|---------------------|
| <input type="checkbox"/> | Country: | Application No.: | Filing Date: |
| <input type="checkbox"/> | NONE | | |

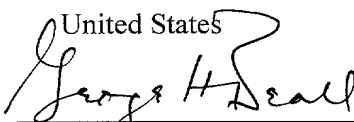
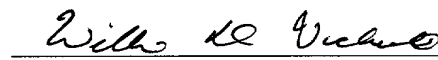
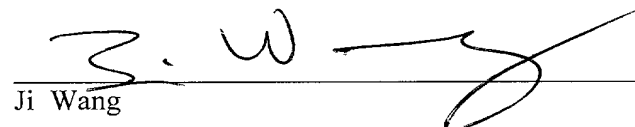
I hereby claim the benefit under Title 35 United States Code § 119(e) and § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37 Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

- | | | | |
|-------------------------------------|------------------------------------|--------------------------------|------------------------|
| <input checked="" type="checkbox"/> | Provisional No.: 60/160,052 | Filed: October 18, 1999 | Status: Pending |
| <input type="checkbox"/> | Application No.: | Filed: | Status: |
| | PCT Application No: | Filed: | Status: |

003107 1245560

DECLARATION IN ORIGINAL APPLICATION**Attorney Docket No.: SP00-321**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: George H. Beall**Resident Address:** 16 Woodland Drive, Big Flats, New York 14814
Post Office Address: same**Citizenship:** United States**DATE:** 10/17/00
George H. Beall**Full Name of Inventor:** Linda R. Pinckney**Resident Address:** 1546 Caton Road, Corning, New York 14830
Post Office Address: same**Citizenship:** United States**DATE:** 10/17/00
Linda R. Pinckney**Full Name of Inventor:** William D. Vockroth**Resident Address:** 133 W. Pulteney Street, Corning, New York 14830
Post Office Address: same**Citizenship:** United States**DATE:** 10/17/00
William D. Vockroth**Full Name of Inventor:** Ji Wang**Resident Address:** 7 Jacob Drive, Painted Post, New York 14870
Post Office Address: same**Citizenship:** China**DATE:** 10/17/00
Ji Wang

00501427-101800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Beall, et al
Serial No.: To Be Assigned
Filed: Herewith
For: Method For Making Nanocrystalline
Glass-Ceramic Fibers

**COMBINED
CERTIFICATE UNDER
37 C.F.R. § 3.73(b)
and
POWER OF ATTORNEY**

Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Customer Number: 22928

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By Alfred L. Michaelsen
Senior Vice President

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Method For Making Nanocrystalline Glass-Ceramic Fibers**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE	October 17, 2000
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and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

10/17/00
Date

George H. Beall
George H. Beall

10/17/00
Date

Linda R. Pinckney
Linda R. Pinckney

10/17/00
Date

William D. Vockroth
William D. Vockroth

10/17/00
Date

Ji Wang
Ji Wang

State of New York)
: ss.
County of Steuben)

On the 17th day of October 2000, before me personally came **George H. Beall** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

COLLEEN E. DOHERTY
Notary Public, State of New York
Qualified in Steuben County No. 01DO6040673
My Commission Expires April 24, 2002

Colleen E. Doherty
Notary Public

State of New York)
: ss.
County of Steuben)

On the 17th day of October 2000, before me personally came **Linda R. Pinckney** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

COLLEEN E. DOHERTY
Notary Public, State of New York
Qualified in Steuben County No. 01DO6040673
My Commission Expires April 24, 2002

Colleen E. Doherty
Notary Public

State of New York)
: ss.
County of Steuben)

On the 17th day of October 2000, before me personally came **William D. Vockroth** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

COLLEEN E. DOHERTY
Notary Public, State of New York
Qualified in Steuben County No. 01DO6040673
My Commission Expires April 24, 2002

Colleen E. Doherty
Notary Public

State of New York)
: ss.
County of Steuben)

On the 17th day of October 2000, before me personally came **Ji Wang** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

COLLEEN E. DOHERTY
Notary Public, State of New York
Qualified in Steuben County No. 01DO6040673
My Commission Expires April 24, 2002

Colleen E. Doherty
Notary Public

State of New York)
: ss.
County of Steuben)

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